1154



Attorney Docket No. 100647/3845

IN THE UNFFED'STATES PATENT AND TRADEMARK OFFICE

Applicant:

Moy et al.

Serial No.:

09/839,932

Filed:

April 20, 2001

Group Art Unit:

1754

Examiner:

Not Yet Assigned

For:

Process For Producing Single Wall Nanotubes Using

Unsupported Metal Catalysts And Single Wall Nanotubes RECEIVED TO 1700

Produced According To This Method

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited on May 8, 2002, with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Signature:

James P. Barabas, Reg. No.: 46,536 Kramer Levin Naftalis & Frankel LLP

Assistant Commissioner For Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants respectfully submit this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in order to comply with the duty of disclosure under 37 C.F.R. § 1.56. These references are listed on the attached modified PTO Form No. 1449.

This Information Disclosure Statement is being mailed before the mailing date of the first Office Action on the merits, and thus, pursuant to 37 C.F.R. § 1.97(b), Applicants respectfully request that the information be expressly considered during the prosecution of this application and that the references be made of record therein and appear among the "References

KL3:2167427.1

Cited" on any patent to issue therefrom. Applicants further request that a copy of the PTO Form No. 1449, appropriately initialed by the Examiner, be returned to Applicants' attorney.

All of the references listed on the attached Form 1449 have been previously submitted to, considered, or received by the Examiner in the parent U.S.S.N. 08/910,495 (now U.S. Pat No. 6,221,350 B1) and thus are not provided herein pursuant to 37 C.F.R. § 1.98(d).

It is believed that no fees are due in connection with this Information Disclosure Statement. However, should any fees be due, the commissioner is authorized to charge Deposit Account No. 50-0540 for such fees. Early and favorable action is earnestly solicited.

Dated: May 8, 2002

Respectfully submitted,

Barry Evans, Reg. No. 22,802

James P. Barabas, Reg. No. 46,536

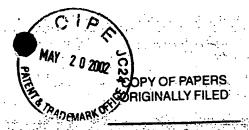
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Assistant Commissioner for Patents Washington, D.C. 20231

Date Mailed: May 8, 2002 Attorney Docket No. 100647/03845

In re:

Moy et al.

Serial No.: 09/839,932
Examiner: Not Yet Assigned
PROCESS FOR PRODUCING
SINGLE WALL NANOTUBES
USING UNSUPPORTED METAL
CATALYSTS AND SINGLE WALL
NANOTUBES PRODUCED

ACCORDING TO THIS METHOD

Filed: April 20, 2001 Group Art Unit: 1754

THE RETURN OF THIS POSTCARD PROPERLY STAMPED WILL ACKNOWLEDGE RECEIPT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THE FOLLOWING SUBJECT MATTER:

Information Disclosure Statement (original and copy, 4 pgs. each)
 Date of Deposit: May 8, 2002

RECEIVED
TC 1700